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PATENT  
60709/16

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Charlotte G. Peterson, et al.

: Group Art Unit: 2681

Serial No. 09/705,110

: Examiner:

Filed: November 2, 2000

: Atty. Dkt. No.: 60709-00016

For: WEB-BASED SYSTEM FOR  
MANAGING SOFTWARE ASSETS

:

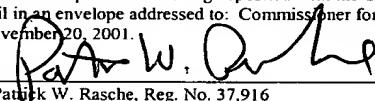
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**CERTIFICATE OF MAILING**

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on November 20, 2001.

  
Patrick W. Rasche, Reg. No. 37,916

**INFORMATION DISCLOSURE STATEMENT**

1.  Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
2.  Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
3.  Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
4.  Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97 (d)  
[before Issue Fee payment]

Hon. Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

5.  A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This Paper is submitted in accordance with:

6.  37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
7.  37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
8.  The required certification made in item 11 below; or

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9. — The \$180 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
10. — 37 CFR 1.97(d): [before issue fee payment]; and
  - a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention GROUP DIRECTOR, and if applicable include batch locator information: e.g., "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and
  - b) The required Certification is stated in item 11 below.
11. — Certification
12. — Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
13. — No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
14.  Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384. An original and two copies of this document is enclosed.

Respectfully submitted,



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Patrick W. Rasche, Reg. No. 37,916  
Armstrong Teasdale LLP  
One Metropolitan Square, Suite 2600  
St. Louis, MO 63012  
(314) 621-5070



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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

		Complete if Known	
Application Number	09/705,110		
Filing Date	November 2, 2000		
First Named Inventor	Charlotte G. Peterson, et al.		
Group Art Unit	2681		
Examiner Name			
Attorney Docket Number	60709-00016		

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
IB	AA	6,233,567	B1	Cohen	05/15/2001	
IB	AB	6,202,207	B1	Donohue	03/13/2001	
IB	AC	6,188,995	B1	Garst, et al.	02/13/2001	
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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the items (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, city and/or country where published.	Date Considered:	T <sup>2</sup>
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